

The West Midlands Rail Freight Interchange Order 201X
Technical Appendix 7.1 - Construction Dust Assessment Criteria
Regulation 5(2)(a)
Ramboll - July 2018

7 Air Quality

Appendix 7.1 – Construction Dust Assessment Criteria

The main air quality impacts that may arise during construction activities are dust deposition resulting in the soiling of surfaces e.g. cars, window sills; visible dust plumes and elevated PM₁₀ concentrations as a result of dust generating activities on the site. These dust emissions can give rise to annoyance at nearby receptors due to the soiling of surfaces by the dust.

Separation distance is an important factor. Research indicates that particles greater than 30µm, will largely deposit within 100 metres of sources, while intermediate particles (10-30µm) are likely to travel 100 –250m¹ under normal meteorological conditions before returning to the surface. Particles of greater than 30µm are responsible for the majority of dust annoyance. Consequently, significant dust annoyance is usually limited to within a few hundred metres of its source. Smaller particles (<10µm) are deposited slowly and can travel up to 1 km; however, the most significant impacts on short term concentrations of PM₁₀ occur within a shorter distance from source. This is due to the rapid decrease in concentrations with increasing distance from the source due to dispersion.

The assessment of construction impacts has followed the methodology set out within guidance produced by the IAQM on assessing impacts from construction activities².

In order to assess the potential impacts, the activities on construction sites are divided into four categories. These are.

- demolition (removal of existing structures);
- earthworks (soil-stripping, ground-leveling, excavation and landscaping);
- construction (activities involved in the provision of a new structure); and
- trackout (the transport of dust and dirt from the construction site onto the public road network where it may be deposited and then re-suspended by vehicles using the network).

For each activity, the risk of dust annoyance, health and ecological impact is determined using three risk categories: low, medium and high risk. The risk category may be different for each of the four activities. The risk magnitude identified for each of the construction activities is then compared to the number of sensitive receptors in the near vicinity of the site in order to determine the risks posed by the construction activities to these receptors.

Step 1: Screen the Need for an Assessment

The first step is to screen the requirement for a more detailed assessment. An assessment is required where there is:

- a 'human receptor' within 350m of the boundary of the site or 50m of the route(s) used by construction vehicles on the public highway, up to 500m from the site entrance(s); and/or
- an 'ecological receptor' within 50m of the boundary of the site; or 50m of the route(s) used by the construction vehicles on the public highway, up to 500m from the site entrance(s).

¹ Arup, The Environmental Effects of Dust at Surface Mineral Workings. (Report to the DETR)

Step 2A: Define the Potential Dust Emission Magnitude

This is based on the scale of the anticipated works and the proximity of nearby receptors. The risk is classified as small, medium or large for each of the four categories.

Demolition: The potential dust emission classes for demolition are:

- Large: Total building volume >50,000m³, potentially dusty construction material (e.g. Concrete), on site crushing and screening, demolition activities >20m above ground level;
- Medium: total building volume 20,000m³ – 50,000m³, potentially dusty construction material, demolition activities 10-20 m above ground level; and
- Small: total building volume <20,000m³, construction material with low potential for dust release (e.g. metal cladding or timber), demolition activities <10m above ground, demolition during wetter months.

Earthworks: This involves excavating material, haulage, tipping and stockpiling. The potential dust emission classes for earthworks are:

- Large: Total site area >10,000m², potentially dusty soil type (e.g. clay, which will be prone to suspension when dry due to small particle size), >10 heavy earth moving vehicles active at any one time, formation of bunds >8 m in height, total material moved >100,000 tonnes;
- Medium: Total site area 2,500 m² – 10,000m², moderately dusty soil (e.g. silt), 5 – 10 heavy earth moving vehicles active at any one time, formation of bunds 4m – 8m in height, total material moved 20,000 tonnes- 100,000 tonnes; and
- Small: Total site area <2,500m², soil type with large grain size (e.g. sand), <5 heavy earth moving vehicles active at any one time, formation of bunds <4 m in height, total material moved <20,000 tonnes, earthworks during wetter months.

Construction: The important issues here when determining the potential dust emission magnitude include the size of the building(s)/infrastructure, method of construction, construction materials, and duration of build. The categories are:

- Large: Total building volume >100,000m³, on site concrete batching, sandblasting;
- Medium: Total building volume 25,000m³ – 100,000m³, potentially dusty construction material (e.g. concrete), on site concrete batching; and
- Small: Total building volume <25,000m³, construction material with low potential for dust release (e.g. metal cladding or timber).

Trackout: The risk of impacts occurring during trackout is predominantly dependent on the number of vehicles accessing the Site on a daily basis. However, vehicle size and speed, the duration of activities and local geology are also factors which are used to determine the emission class of the Site as a result of trackout. The categories are:

- Large: >50 HDV (>3.5t) outward movements in any one day, potentially dusty surface material (e.g. high clay content), unpaved road length > 100m;
- Medium: 10-50 HDV (>3.5t) outward movements in any one day, moderately dusty surface material (e.g. high clay content, unpaved road length 50-100m; and

² IAQM (January 2014) Guidance on the Assessment of Dust from Demolition and Construction. Version 1.1

- Small: <10 HDV (>3.5t) outward movements in any one day, surface material with low potential for dust release, unpaved road length >50m.

Step 2B: Defining the Sensitivity of the Area

The sensitivity of the area is defined for dust soiling, human health (PM₁₀) and ecological receptors. The sensitivity of the area takes into account the following factors:

- the specific sensitivities of receptors in the area;
- the proximity and number of receptors;
- in the case of PM₁₀, the local background concentration; and
- site specific factors, such as whether there are natural shelters, such as trees, to reduce the risk of wind-blown dust.

Table 7.1.1 is used to define the sensitivity of different types of receptors to dust soiling, health effects and ecological effects.

Sensitivity of Area	Dust Soiling	Human Receptors	Ecological Receptors
High	Users can reasonably expect enjoyment of a high level of amenity The appearance, aesthetics or value of their property would be diminished by soiling' The people or property would reasonably be expected to be present continuously, or at least regularly for extended periods, as part of the normal pattern of use of the land. E.g. dwellings, museums and other important collections, medium and long term car parks and car showrooms.	10 – 100 dwellings within 20 m of site. Local PM ₁₀ concentrations close to the objective (e.g. annual mean 36 - 40 µg/m ³). E.g. residential properties, hospitals, schools and residential care homes.	Locations with an international or national designation and the designated features may be affected by dust soiling. Locations where there is a community of a particularly dust sensitive species such as vascular species included in the Red List for Great Britain. E.g. A special Area of Conservation (SAC).
Medium	Users would expect to enjoy a reasonable level of amenity, but would not reasonably expect to enjoy the same level of amenity as in their home. The appearance, aesthetics or value of their property could be diminished by soiling The people or property wouldn't reasonably be expected to be present	Less than 10 receptors within 20 m. Local PM ₁₀ concentrations below the objective (e.g. annual mean 30-36 µg/m ³). E.g. office and shop workers but will generally not include workers occupationally exposed to PM ₁₀ as protection is covered by	Locations where there is a particularly important plant species, where its dust sensitivity is uncertain or unknown. Locations with a national designation where the features may be affected by dust deposition E.g. A site of Special Scientific Interest (SSSI) with dust sensitive features.

	here continuously or regularly for extended periods as part of the normal pattern of use of the land. E.g. parks and places of work.	the Health and Safety at Work legislation.	
Low	The enjoyment of amenity would not reasonably be expected. Property would not reasonably be expected to be diminished in appearance, aesthetics or value by soiling. There is transient exposure, where the people or property would reasonably be expected to be present only for limited periods of time as part of the normal pattern of use of the land. E.g. playing fields, farmland unless commercially sensitive horticultural, footpaths, short lived car [parks and roads.	Locations where human exposure is transient. No receptors within 20 m. Local PM ₁₀ concentrations well below the objectives (less than 75%). E.g. public footpaths, playing fields, parks and shopping streets.	Locations with a local designation where the features may be affected by dust deposition. E.g. local Nature Reserve with dust sensitive features.

Based on the sensitivities assigned to the different receptors surrounding the site and numbers of receptors within certain distances of the site, a sensitivity classification can be defined for each. Tables 7.1.2 to 7.1.4 indicate the criteria used to determine the sensitivity of the area to dust soiling, human health and ecological impacts.

Receptor Sensitivity	Number of Receptors	Distance from the Source (m)			
		<20	<50	<100	<350
High	>100	High	High	Medium	Low
	10-100	High	Medium	Low	Low
	1-10	Medium	Low	Low	Low
Medium	>1	Medium	Low	Low	Low
Low	>1	Low	Low	Low	Low

Receptor Sensitivity	Annual Mean PM ₁₀ Concentration	Number of Receptors	Distance from Source (m)				
			<20	<50	<100	<200	<350
High	>32 µg/m ³	>100	High	High	High	Medium	Low
		10-100	High	High	Medium	Low	Low
		1-10	High	Medium	Low	Low	Low
	28-32 µg/m ³	>100	High	High	Medium	Low	Low
		10-100	High	Medium	Low	Low	Low
		1-10	High	Medium	Low	Low	Low
	24-28 µg/m ³	>100	High	Medium	Low	Low	Low
		10-100	High	Medium	Low	Low	Low
		1-10	Medium	Low	Low	Low	Low
	<24 µg/m ³	>100	Medium	Low	Low	Low	Low
		10-100	Low	Low	Low	Low	Low
		1-10	Low	Low	Low	Low	Low
Medium	>32 µg/m ³	>10	High	Medium	Low	Low	Low
		1-10	Medium	Low	Low	Low	Low
	28-32 µg/m ³	>10	Medium	Low	Low	Low	Low
		1-10	Low	Low	Low	Low	Low
	24-28 µg/m ³	>10	Low	Low	Low	Low	Low
		1-10	Low	Low	Low	Low	Low
	<24 µg/m ³	>10	Low	Low	Low	Low	Low
		1-10	Low	Low	Low	Low	Low
Low	-	>1	Low	Low	Low	Low	Low

Receptor Sensitivity	Distance from the Source (m)	
	<20	<50
High	High	Medium
Medium	Medium	Low
Low	Low	Low

Define the Risk of Impacts

The final step is to combine the dust emission magnitude determined in step 2A with the sensitivity of the area determined in step 2B to determine the risk of impacts with no mitigation applied. Tables 7.1.5 to 7.1.7 indicate the method used to assign the level of risk for each construction

activity. The identified level of risk is then used to determine measures for inclusion within a site-specific Construction Management Plan (CMP) aimed at reducing dust emissions and hence reducing the impact of the construction phase on nearby receptors. The mitigation measures are drawn from detailed mitigation set out within the IAQM guidance document.

Sensitivity of Area	Large	Medium	Small
High	High Risk	Medium Risk	Medium Risk
Medium	High Risk	Medium Risk	Low Risk
Low	Medium Risk	Low Risk	Negligible

Sensitivity of Area	Large	Medium	Small
High	High Risk	Medium Risk	Low Risk
Medium	Medium Risk	Medium Risk	Low Risk
Low	Low Risk	Low Risk	Negligible

Sensitivity of Area	Large	Medium	Small
High	High Risk	Medium Risk	Low Risk
Medium	Medium Risk	Low Risk	Negligible
Low	Low Risk	Low Risk	Negligible